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Date April 12, 2002

Tracy Gutscher  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : Gundu M. Sabde and  
Whonchee Lee

Attorney Docket No.: 500163.04

Serial No. : 09/915,658

Group Art Unit : 3723

Filed : July 25, 2001

Examiner : Not yet assigned

Title : METHODS AND APPARATUSES FOR PLANARIZING MICROELECTRONIC  
SUBSTRATE ASSEMBLIES

RECEIVED  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449 (copies of the cited references are enclosed). Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Applicants also wish to make known to the Patent and Trademark Office that an application, Serial No. 09/916,164, describing and claiming subject matter that is similar to the subject matter of this application, was filed on July 25, 2001. This related application, which is a divisional of previously cited Application No. 09/356,808, filed July 20, 1999, as well as any prior art cited therein, may be material to the examination of this application.

We hereby certify that no item set forth on the attached form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application or, to our knowledge, after making reasonable inquiry, was known to any individual designated in 37

C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted,

DORSEY & WHITNEY LLP



Steven H. Arterberry  
Registration No. 46,314

Enclosures:

Postcard

Form PTO-1449; Cited References (2)

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FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
500163.04APPLICATION NO.  
09/915,658

## INFORMATION DISCLOSURE STATEMENT

APR 22 2002

(Use several sheets if necessary)

APPLICANT(S)

Gundu M. Sabde and Whonchee Lee

FILING DATE

July 25, 2001

GROUP ART UNIT

3723

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,124,207	09/26/00	Robinson et al.	438	692	
	AB	6,325,705	12/04/01	Burke et al.	451	288	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
	AL							
	AM							
	AN							
	AO							

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).